Page 1 of 2

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

TON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/767,215

01/22/2001

John Bertin

07334-142001

CONFIRMATION NO. 3061

FORMALITIES LETTER

OC00000006000826

ANITA L. MEIKLEJOHN, PH.D. Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Date Mailed: 04/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, nonshiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal

06/22/2001 AZERGSU1 00000005 00767215





Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821 (f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





Applicant: John Bertin

Art Unit : 1645

Serial No.:

.: 09/767,215

Examiner:

Filed

: January 22, 2001

Title

: NOVEL MOLECULES OF THE CARD-RELATED PROTEIN FAMILY AND

USES THEREOF

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed April 24, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- Check in payment of \$130 surcharge for filing the declaration on a date later than the filing date of the application;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☑ Preliminary Amendment;
- Statement Under 37 CFR §§1.821(f) and (g);
- Verified Statement Under 37 CFR §1.821(f);
- Sequence Listing (paper copy and computer readable format); and
- ☑ Transmittal of Formal Drawings.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature



Applicant: John Bertin Serial No.: 09/767,215

Filed

: January 22, 2001

Page

: 2

lo.: 07334-142001 / MPI2000-

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050. referencing Attorney Docket No. 07334-142001.

Respectfully submitted,

18 June 2001

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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Anita L. Meiklejohn Rey. No. 35, 283







Applicant: John Bertin Art Unit: 1645

Serial No.: 09/767,215 Examiner:

Filed: January 22, 2001

Title : NOVEL MOLECULES OF THE CARD-RELATED PROTEIN FAMILY AND

USES THEREOF

Attention: Official Draftsman

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Parts of Nonprovisional Application mailed April 24, 2001, please substitute the enclosed seventeen (17) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 07334-142001.

Respectfully submitted,

Date: 18 June 2001

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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ack Brennan Reg No. 47,44 Anda L. Meiklejohn Reg. No. 35,283

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plicant: John Bertin

Art Unit: 1645

Serial No.:

: 09/767,215

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: January 22, 2001

Title

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BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 CFR §§1.821(f) AND (g)

I hereby state, as required by 37 C.F.R. §1.821(f), that the content of the paper and computer-readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. §§1.821(c) and (e), respectively, are the same.

I hereby state, as required by 37 C.F.R. §1.821(g), that the enclosed submission includes no new matter.

Respectfully submitted,

Date

18 Jone 2001

Jack Brennan Reg. No. 47.44 Anita L. Meiklejohn Reg. No. 35,283

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070

Facsimile: (617) 542-8906

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature



Applicant: John Bertin Art Unit: 1645 Serial No.: 09/767,215 Examiner: Unknown

Filed: January 22, 2001

Title : NOVEL MOLECULES OF THE CARD-RELATED PROTEIN FAMILY AND

USES THEREOF

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

VERIFIED STATEMENT UNDER 37 CFR §1.821(f)

I, Katica Magovcevic, declare that I personally prepared the paper and the computerreadable copy of the Sequence Listing filed herewith for the above-identified application and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 619101

Katica Magovcevic

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 (617) 542-5070 telephone (617) 542-8906 facsimile

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature